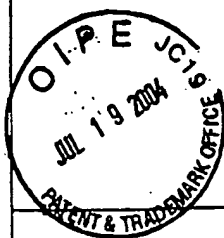


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

UNDER 37 CFR §1.56, §1.97, and §1.98



PTO-1449 FORM

SHEET 1 OF 3

ATTORNEY DOCKET NO.:

R0395-00900

SERIAL NO.:

10/791,916

APPLICANTS:

Sharkey et al.

EXAMINER:

Not yet assigned

FILING DATE:

March 3, 2004

GROUP ART UNIT:

3764

U.S. PATENT DOCUMENTS

† EXR INITIAL	*REF. #	PATENT NUMBER	DATE (MO/YR)	NAME	U.S. CLASS/ SUBCLASS	FILING DATE (If appropriate)
AS	A1	3,874,388	4/75	King et al.		
	A2	4,007,743	2/77	Blake		
	A3	4,619,246	10/86	Molgaard-Nielsen et al.		
	A4	4,832,055	5/89	Palestrant		
	A5	5,385,156	1/95	Oliva		
	A6	5,496,277	3/96	Termin et al.		
	A7	5,527,337	6/96	Stack et al.		
	A8	5,527,338	6/96	Purdy		
	A9	5,549,621	8/96	Bessler et al.		
	A10	5,634,936	6/97	Linden et al.		
	A11	5,634,942	6/97	Chevillon et al.		
	A12	5,702,343	12/97	Alferness		
	A13	5,791,231	8/98	Cohn et al.		
	A14	5,797,849	8/98	Vesely et al.		
	A15	5,797,960	8/98	Stevens et al.		
	A16	5,829,447	11/98	Stevens et al.		
	A17	5,833,698	11/98	Hinchliffe et al.		
	A18	5,836,968	11/98	Simon et al.		
	A19	5,843,170	12/98	Ahn		
	A20	5,865,730	2/99	Fox et al.		
	A21	5,865,791	2/99	Whayne et al.		
	A22	5,871,017	2/99	Mayer		
	A23	5,875,782	3/99	Ferrari et al.		
	A24	5,876,325	3/99	Mizuno et al.		
	A25	5,876,449	3/99	Starck et al.		
	A26	5,879,366	3/99	Shaw et al.		
	A27	5,882,340	3/99	Yoon		
	A28	5,910,150	6/99	Saadat		
	A29	5,916,145	6/99	Chu et al.		
	A30	5,924,424	7/99	Stevens et al.		
	A31	5,925,076	7/99	Inoue		
	A32	5,928,260	7/99	Chin et al.		
	A33	5,961,440	10/99	Schweich, Jr. et al.		
	A34	5,961,539	10/99	Northup, III et al.		
	A35	5,984,917	11/99	Fleischman et al.		
	A36	6,024,096	2/00	Buckberg		
	A37	6,024,756	2/00	Huebsch et al.		
	A38	6,045,497	4/00	Schweich et al.		
	A39	6,059,715	5/00	Schweich et al.		
	A40	6,076,013	6/00	Brennan et al.		
	A41	6,077,214	6/00	Mortier et al.		
AS	A42	6,077,218	6/00	Alferness		

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56, §1.97, and §1.98 PTO-1449 FORM SHEET 2 OF 3	ATTORNEY DOCKET NO.: R0395-00900	SERIAL NO.: 10/791,916
	APPLICANTS: Sharkey et al.	EXAMINER: Not yet assigned
	FILING DATE: March 3, 2004	GROUP ART UNIT: 3764

U.S. PATENT DOCUMENTS CONT'D

† EXR INITIAL	*REF. #	PATENT NUMBER	DATE (MO/YR)	NAME	U.S. CLASS/ SUBCLASS	FILING DATE (If appropriate)
AS	A43	6,093,199	7/00	Brown et al.		
	A45	6,095,968	8/00	Snyders		
	A46	6,096,347	8/00	Geddes et al.		
	A47	6,099,832	8/00	Mickle et al.		
	A48	6,102,887	4/00	Altman		
	A49	6,125,852	10/00	Stevens et al.		
	A50	6,132,438	10/00	Fleischman et al.		
	A51	6,152,144	11/00	Lesh et al.		
	A52	6,161,543	12/00	Cox et al.		
	A53	6,193,731	2/01	Oppelt et al.		
	A54	6,221,092	4/01	Koike et al.		
	A55	6,231,561	5/01	Frazier et al.		
	A56	6,258,021	7/01	Wilk		
	A57	6,267,772	7/01	Mulhauser et al.		
	A58	6,290,674	9/01	Roue et al.		
	A59	6,312,446	11/01	Huebsch et al.		
	A60	6,328,727	12/01	Frazier et al.		
	A61	6,334,864	1/02	Amplatz et al.		
	A62	6,343,605	2/02	Lafontaine		
	A63	6,348,068	2/02	Campbell et al.		
	A64	6,355,052	3/02	Neuss et al.		
	A65	6,360,749	3/02	Jayaraman		
	A66	6,364,896	4/02	Addis		
	A67	6,387,042	5/02	Herrero		
	A68	6,419,669	7/02	Frazier et al.		
	A69	6,436,088	8/02	Frazier et al.		
	A70	6,450,171	9/02	Buckberg et al.		
	A71	6,537,198	3/03	Vidlund et al.		
	A72	6,551,303	4/03	Van Tassel et al.		
	A73	6,592,608	7/03	Fisher et al.		
	A74	US 2001/0014800 A1	8/01	Frazier et al.		
	A75	US 2001/0019580 A1	2/02	Lau et al.		
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AS	A81	US 2002/169359 A1	11/2002	McCarthy et al.		
	A82	US 2003/149333 A1	08/2003	Alfiness		

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56, §1.97, and §1.98 PTO-1449 FORM SHEET 3 OF 3				ATTORNEY DOCKET NO.: R0395-00900	SERIAL NO.: 10/791,916
				APPLICANTS: Sharkey et al.	EXAMINER: Not yet assigned
				FILING DATE: March 3, 2004	GROUP ART UNIT: 3764

FOREIGN PATENT DOCUMENTS					
† EXR INITIAL	*REF. #	PATENT NUMBER	DATE (MO/YR)	COUNTRY	TRANSLATION (YES/NO)
AS	B1	WO 00/27292	5/2000	WIPO	/
↓	B2	WO 01/78625 A1	10/2001	WIPO	/
↓	B3	WO 2004/012629 A	02/2004	WIPO	/
AS	B4	WO 03/007778 A	01/2003	WIPO	/

OTHER DOCUMENTS	
† EXR INITIAL	*REF. # Document Description (including Author, Title, Date, Pertinent Pages, Etc.)
AS	C1 Tetsuji Kawata et al., "Systolic and Diastolic Function After Patch Reconstruction of Left Ventricular Aneurysms", Ann. Thorac. Surg. 59, pp. 403-407, 1995
↓	C2 Vincent Dor, "The Treatment of Refractory Ischemic Ventricular Tachycardia by Endoventricular Patch Plasty Reconstruction of the Left Ventricle", Seminars in Thoracic and Cardiovascular Surgery, Vol. 9, No. 2, pp. 146-155, April 1997.
↓	C3 Daniel Giorgio Di Mattia et al., "Surgical treatment of left ventricular post-infarction aneurysm with endoventriculoplasty: late clinical and functional results", European Journal of Cardio-thoracic Surgery 15, pp. 413-419, 1999.
↓	C4 T Katsumata et al., "An objective appraisal of partial left ventriculectomy for heart failure", Journal of Congestive Heart Failure and Circulator Support, pp. 97-106, 1999
↓	C5 Vincent Dor, "Surgery for left ventricular aneurysm", Current Opinion in Cardiology, Current Science, pp. 773-780, 1990.
↓	C6 Vincent Dor et al., "Ventricular remodeling in coronary artery disease", Current Opinion in Cardiology, Rapid Science Publishers, pp. 533-537, 1997.
↓	C7 AGA Medical Corporation, www.amplatzer.com/products , "The Muscular VSD Occluder" and "The Septal Occluder" device descriptions, 4/3/02.
AS	C8 Gore Medical, www.goremedical.com , "Helex Septal Occluder" product description, 4/3/02.

EXAMINER'S SIGNATURE <div style="font-family: cursive; font-size: 1.2em;">A. Stewart</div>	DATE CONSIDERED <div style="font-family: cursive; font-size: 1.2em;">02/28/06</div>
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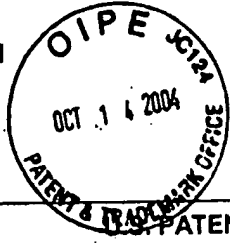
† EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609.
 Line through citation if not in conformance and not considered.
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INFORMATION DISCLOSURE STATEMENT

UNDER 37 CFR §1.56, §1.97, and §1.98

SHEET 1 of 1



R0395-0900

10/791,916

Sharkey et al.

Not yet assigned

March 3, 2004

3764

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

OTHER DOCUMENTS

EXAMINER'S SIGNATURE

A. Stuart

DATE CONSIDERED

02/28/00

† EXAMINER:

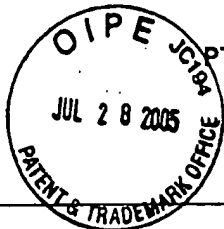
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

UNDER 37 CFR §1.56, §1.97, and §1.98



PTO-1449 FORM

SHEET 1 of 1

ATTORNEY DOCKET NO.:

R0395-00900

SERIAL NO.:

10/791,916

APPLICANTS:

Sharkey et al.

EXAMINER:

Not yet assigned

FILING DATE:

March 3, 2004

GROUP ART UNIT:

3764

U.S. PATENT DOCUMENTS

† EX'R INITIAL	*REF. #	PATENT NUMBER	DATE (MO/YR)	NAME	U.S. CLASS/ SUBCLASS	FILING DATE (If appropriate)
AS	A83	5,192,314	3/93	Daskalakis et al.		
	A84	2003/050682	3/03	Sharkey et al.		
	A85	2003/105384 A1	6/03	Sharkey et al.		
AS	A86	2003/220667 A1	11/03	Van Der Burg et al.		

FOREIGN PATENT DOCUMENTS

† EX'R INITIAL	*REF. #	PATENT NUMBER	DATE (MO/YR)	COUNTRY	TRANSLATION (YES/NO)
AS	B8	WO 2004/100803	11/04	WIPO	
AS	B9	WO 2005/007031	1/05	WIPO	

OTHER DOCUMENTS

† EX'R INITIAL	*REF. #	Document Description (including Author, Title, Date, Pertinent Pages, Etc.)
AS	C10	International Search Report and Written Opinion for PCT/US2005/000264 mailed April 26, 2005.

EXAMINER'S SIGNATURE

A. Street

DATE CONSIDERED

02/28/06.

† EXAMINER:

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56, §1.97, and §1.98 PTO-1449 FORM SHEET 1 of 1	ATTORNEY DOCKET NO.: R0395-00900	SERIAL NO.: 10/791,916
	APPLICANTS: Sharkey et al.	EXAMINER: Alvin J. Stewart
	FILING DATE: March 3, 2004	GROUP ART UNIT: 3764

U.S. PATENT DOCUMENTS

† EX'R INITIAL	*REF. #	PATENT NUMBER	DATE (MO/YR)	NAME	U.S. CLASS/ SUBCLASS	FILING DATE (if appropriate)
AS	A87	6,406,420	6/02	McCarthy et al.		
AS	A88	6,652,555	11/03	VanTassel et al.		
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FOREIGN PATENT DOCUMENTS

† EX'R INITIAL	*REF. #	PATENT NUMBER	DATE (MO/YR)	COUNTRY	TRANSLATION (YES/NO)

OTHER DOCUMENTS

† EX'R INITIAL	*REF. #	Document Description (including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER'S SIGNATURE <i>A. Stewart</i>	DATE CONSIDERED <i>02/28/06</i>
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